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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: D. J. Snowden et al.

Docket No.: YOR920000749US2

Serial No.: 10/001,948

Group Art Unit: 2123

Filed: October 24, 2001

Examiner:

For: STORY-BASED ORGANIZATIONAL ASSESSMENT  
AND EFFECT SYSTEM

Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)

I hereby certify that the attached correspondence comprising:

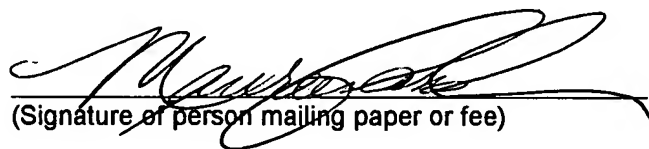
Notice To File Missing Parts of Non-Provisional Application  
Petition And Fee For Extension Of Time (37 CFR 1.136(a))  
Deposit Account Authorization Form  
Declaration and Power of Attorney  
Recordation Form Cover Sheet  
Assignment To IBM  
Acknowledgment Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

on March 26, 2002

Maureen Gander  
(Type or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: D. J. Snowden et al.

Date: March 26, 2002

Serial No.: 10/001,948

Group Art Unit: 2123

Filed: October 24, 2001

Examiner:

For: STORY-BASED ORGANIZATIONAL  
ASSESSMENT AND EFFECT SYSTEM

Assistant Commissioner for Patents  
Washington, DC 20231

**DEPOSIT ACCOUNT AUTHORIZATION FORM**

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated December 21, 2001, this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

Dr. Daniel P. Morris, Esq.

Reg. No.: 32,053

Telephone No.: (914) 945-3217

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598

Docket No.: YOR920000749US2



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/001,948	10/24/2001	David Snowden	YOR920000749US2

CONFIRMATION NO. 8859

## FORMALITIES LETTER



\*OC000000007223950\*

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218 - 39-238  
YORKTOWN HEIGHTS, NY 10598

105

Date Mailed: 12/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2836.
  - \$2556 for 142 total claims over 20.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3706.

04/26/2002 SSALEEKU 00000009 090468 10001948

01 FC:101	740.00 CH
02 FC:103	2556.00 CH
03 FC:104	280.00 CH

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/08/2002 MWOLDER1 00000073 090468 10001948

01 FC:105 130.00 CH